

## CI-GEF PROJECT AGENCY SCREENING RESULTS AND SAFEGUARD ANALYSIS

(To be completed by CI-GEF Coordination Team)

**Date Prepared/Updated:**

### I. BASIC INFORMATION

A. Basic Project Data	
<b>Country:</b> Colombia	<b>GEF Project ID:</b> 5784
<b>Project Title:</b> Reconciling biodiversity conservation and agricultural production in agroforestry cultivation systems in the Colombian Andes: a model for Colombia's post conflict era	
<b>Executing Entity:</b> Universidad Industrial de Santander	
<b>GEF Focal Area:</b> Biodiversity	
<b>GEF Project Amount:</b> US\$85,000	
<b>Reviewer(s):</b> Ian Kissoon	
<b>Date of Review:</b> June 17, 2016	
<b>Comments:</b> Analysis completed and approved	

### B. Project Objective:

To contribute to the conservation of biodiverse production landscapes by (1) identifying existing management strategies, and reconciling biodiversity conservation and ecosystem service provisioning with agricultural production; and (2) converting the autochthonous tacit knowledge about managing these diversified agroecosystems into explicit expert knowledge using a participatory approach developing a model for rural agro-ecology schools.

### C. Project Description:

In the Colombian Andes, diversified agroforestry systems with cacao and coffee exist within a matrix of cattle pastures and well preserved forest fragments. These production landscapes harbor a biodiverse fauna and flora and provide essential ecosystem services, but are threatened by agricultural intensification, post-conflict rural development and global market pressures. The project will contribute to the conservation of biodiversity in the agricultural production landscapes and their sustainable management by empowering the local communities.

To achieve the first objective, the project will assess biodiversity and ecosystem services across three different management strategies: (i) intensified cacao and/or coffee production without shade trees, (ii) designed agroforestry systems with planted shade trees, and (iii) close to natural polycultures. Biodiversity indicators will be assessed for plant, invertebrate and vertebrate groups and ecosystem services will include water provisioning, soil protection and pest control.

For the second objective, the project aims to empower the local community to not only conserve their knowledge and meet future challenges, but also share it and inspire the emerging population of post-conflict farmers in Colombia.

The project will be implemented under the following components:

- Component 1: Participative reconstruction of the history, socio-economic situation and management regime of the region, including identification of farmers and farms to work with.
- Component 2: Biodiversity evaluation and ecosystem service quantification across different agroforestry management strategies.
- Component 3: Converting traditional tacit knowledge to explicit knowledge via rural agro-ecology schools for famers and their families.

**D. Project location and biophysical characteristics relevant to the safeguard analysis:**

The proposed project will be carried out in the buffer zone of the Yariguíes National Park (Santander, Colombia). The agricultural production area is a valley between 800 and 1,800 m above sea level, characterized by steep hillslopes with intact forest fragments at the highest parts. The national park is located in the higher parts on the Yariguíes mountain range 1,800 m above sea level, an area difficult to access.

The agricultural production area is of national importance for cacao and coffee production and harbors a high level of biodiversity and endemism. The region has relatively recently been colonized and remained until 10 years ago largely isolated due to the internal armed conflict which had a strong impact on the region.

The region is traditionally agricultural land. Majority of the peasants own their land, have electricity and water facilities, there are primary and secondary schools in the area. People cultivate food for their own use, and live on the production of cacao, coffee, lemon, mandarin, orange, banana, and cattle and milk. Compare to most of other rural areas of Santander, people have a good quality of life. Within traditional families the role of women is to take care of the household and children, while the men work on the fields. Only during the cacao and coffee high productivity season women contribute with the collection of fruits. In the region there is one association of mothers head of family, their role extends also to the agricultural labors.

**E. Executing Entity’s Institutional Capacity for Safeguard Policies:**

Not assessed

**II. SAFEGUARD AND POLICIES**

**Environmental and Social Safeguards:**

Safeguard Triggered	Yes	No	TBD	Date Completed
<b>1. Environmental &amp; Social Impact Assessment (ESIA)</b>		X		
<i>Justification: No significant adverse environmental and social impacts that are sensitive, diverse, or unprecedented is anticipated</i>				
<b>2. Natural Habitats</b>		X		
<i>Justification: The project is not proposing to alter natural habitats</i>				
<b>3. Involuntary Resettlement</b>		X		
<i>Justification: The project does not propose any involuntary resettlement</i>				
<b>4. Indigenous Peoples</b>	X			

<i>Justification: The project does not plan to work in areas traditionally owned, customarily used or occupied by indigenous peoples. However, the project does plan to collect traditional/autochthonous tacit knowledge for the purpose of research and dissemination.</i>				
<b>5. Pest Management</b>		<b>X</b>		
<i>Justification: While the project will assess agroforestry management strategies, there are no activities related to the use of approved chemicals or invasive species management.</i>				
<b>6. Physical &amp; Cultural Resources</b>		<b>X</b>		
<i>Justification: There are no proposed activities related to physical and cultural resources.</i>				
<b>7. Stakeholder Engagement</b>	<b>X</b>			
<i>Justification: The project will involve local communities and effective participation of these key stakeholders must be facilitated by the project.</i>				
<b>8. Gender mainstreaming</b>	<b>X</b>			
<i>Justification: The project activities will target both men and women.</i>				
<b>9. Accountability and Grievance Mechanisms</b>	<b>X</b>			
<i>Justification: As a publicly funded GEF project, participants need to be able submit complaints or raise grievances with the Executing Agency and the Project Agency.</i>				

### III. KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

*From information provided in the Safeguard Screening Form, this project has triggered four safeguard policies. These are:*

- I. Indigenous Peoples,*
- II. Stakeholder Engagement,*
- III. Gender Mainstreaming, and*
- IV. Grievance Mechanism.*

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

*No indirect and/or long term impacts due to anticipated future activities are foreseen at this time.*

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts:

*The proposed approach of the project is expected to avoid or minimize adverse impacts. As such, no better alternative can be conceived at this time.*

4. Describe measures to be taken by the Executing Entity to address safeguard policy issues.

- I. Indigenous Peoples  
Given the research nature of the project grant, an Indigenous Peoples Plan (IPP) is not being requested. However, the project is required to follow and document the Free Prior and Informed*

*Consent (FPIC) Process when interacting with communities and obtaining traditional knowledge for the purpose of research and dissemination.*

II. Stakeholder Engagement

*Given the small size of the project grant, a Stakeholder Engagement Plan (SEP) is not being requested. However, the project is **required** ensure active stakeholder participation and to reflect stakeholder engagement activities in the annual workplan. Please document the date, location and participants (gender disaggregated) along with meeting notes of all stakeholder engagement activities. The documentation of these activities can be supported (not required) with photographs, video and audio recordings.*

*Also agree with stakeholders on where, when and how information will be disseminated back to them following a meeting/consultation.*

III. Gender

*Given the small size of the project grant, a Gender Mainstreaming Plan (GMP) is not being requested. However, the project is **required** to reflect gender disaggregated activities in the annual workplan. The design of activities and consultations should consider the needs and schedules of both men and women. Please document how many men and women were consulted, participated in project activities, and benefitted/affected by the project. Also ensure that men and women are not adversely impacted and receive equal opportunities in planning, decision-making and implementation in a way that is culturally appropriate and acceptable.*

IV. Grievance Mechanism

*An Accountability and Grievance Mechanism is **required** to ensure people affected by the project are able to bring their grievances to the Executing Entity for consideration and redress. The mechanism must be in place before the start of project activities, and also disclosed to all stakeholders in a manner/means that best suits the local context.*

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:

*The key stakeholders are the local communities and research entities. The mechanisms for consultation and disclosure should be culturally appropriate, gender sensitive, effective, and in keeping with local customs. Engagement can take the form of village meetings, group meetings, workshops, interviews/surveys, etc. and done using local languages and methods. The Executing Entity should take these contexts into consideration when designing engagement activities.*

#### IV. PROJECT CATEGORIZATION



PROJECT CATEGORY	Category A	Category B	Category C

*Justification: The proposed project activities are likely to have minimal or no adverse environmental and social impacts.*

#### V. EXPECTED DISCLOSURE DATES

Safeguard Plan	CI Disclosure Date	In-Country Disclosure Date
Environmental & Social Impact Assessment (ESIA)	NA	NA
Environmental Management Plan (EMP)	NA	NA
Voluntary Resettlement Action Plan (V- RAP)	NA	NA
Process Framework for Restriction of Access to Natural Resources	NA	NA
Indigenous Peoples Plan (IPP)	IPP not required. FPIC process to be documented	IPP not required. FPIC process to be documented
Pest Management Plan (PMP)	NA	NA
Stakeholder Engagement Plan (SEP)	SEP not required. SE activities to be included in the annual workplan	SEP not required. SE activities to be included in the annual workplan
Gender Mainstreaming Plan (GMP)	GMP not required. GM activities to be included in the annual workplan	GMP not required. GM activities to be included in the annual workplan
Accountability and Grievance Mechanism	Within 15 days of CI-GEF approval	No later than inception workshop/kick-off meeting

#### VI. APPROVALS

<b>Signed and submitted by:</b>		
Vice President: 	Name: Miguel Morales	Date:
<b>Approved by:</b>		
Safeguard Manager: 	Name: Ian Kissoon	Date: 2016-06-17
Project Manager:	Name: Orissa Samaroo	Date:

