CONSERVATION INTERNATIONAL

gef GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

CI-GEF PROJECT AGENCY
SCREENING RESULTS AND SAFEGUARD ANALYSIS

(To be completed by CI-GEF Coordination Team)

Date Prepared/Updated: June 24, 2014

I. BASIC INFORMATION

A. Basic Project Data		
Country: Japan	**GEF Project ID**: 5784	**CI Project ID**:
Project Title: Mainstreaming Biodiversity Conservation and Sustainable Management in Priority Socio Ecological Production Landscapes and Seascapes		
Estimated Appraisal Date: End of PPG phase and before beginning of full project implementation		
Executing Entity(ies): Conservation International-Japan. (Institute for Global Environmental Strategies (IGES) and United Nations University Institute for the Advanced Study of Sustainability (UNU-IAS) will also play major part in the implementation)		
GEF Focal Area: Biodiversity		
GEF Project Amount: USD 2M		
Other financing amounts by source: USD 5.8M		
Reviewer(s): Miguel A. Morales		
Date of Review: June 24, 2014		
Comments:		

B. Project Objectives:

To mainstream conservation and sustainable use of biodiversity and ecosystem services, while improving human well-being in priority Socio-Ecological Production Landscapes and Seascapes (SEPLS)

C. Project Description:

There are three components under this project:

- Component 1 will focus on field-level demonstration activities to be implemented at SEPLS. On-the-ground activities at ten or more selected sites will aim to improve the status of the targeted SEPLS. Lessons from these activities will also be captured and incorporated into a developing knowledge base for improved management of SEPLS (see Component 2). The critical roles of indigenous peoples, women and other vulnerable groups in SEPLS will gain further recognition and respect through the activities of these demonstrations.

- Component 2 will generate and synthesize knowledge related to SEPLS management. It will help to document and disseminate good practices, including traditional knowledge and practices of indigenous peoples and local communities, for management of SEPLS, before they are lost. This knowledge will be brought together with modern management techniques to create best

practice guidelines and tools for mainstreaming conservation and sustainable use of biodiversity into the management of SEPLS. Site-level knowledge will be generated from three main sources: (i) pilot demonstration sites (see Component 1); (ii) IPSI member case studies, and (iii) global priority SEPLS (see Output 2.1). In addition to being made available online and other innovative tools, knowledge products will be disseminated and used as the basis for capacity building under Component 3.

- Component 3 is designed to raise awareness and build capacities of key national and international level decision makers, practitioners and other stakeholders regarding the importance of SEPLS, as a key step in encouraging national-level action for sustainable use of biodiversity and mainstreaming biodiversity in production landscapes and seascapes. Through a series of thematic regional and global workshops, stakeholders will share experiences and lessons learned, while exchanging and building knowledge on key mainstreaming themes

D. Project location and physical characteristics relevant to the safeguard analysis:

This is a global project on mainstreaming conservation and sustainable use of biodiversity in landscape and seascape management, particularly in socio-ecological production landscapes and seascapes (SEPLS). Specific project countries and sites will be determined during the PPG phase.

The country that may participate in the project will be selected from GEF BD eligible countries. Compliance issues will be addressed when participating countries/projects are selected.

Countries and site will be assessed using detailed criteria for site selection (to be finalized during the PPG), which will build on criteria developed for the first round of projects supported under the SDM. Key criteria to be developed will relate to: (i) global biodiversity significance, (ii) innovativeness, (iii) traditional knowledge elements and ability to fill knowledge gaps at global level (taking account of, inter alia, GEF project mainstreaming experience), with particular consideration for those held and managed by women (iv) urgency of threats, (v) replication potential, including relevance for sustainable commodity production and/or other important land uses within the country in question, (vi) relevance to goals and objectives of NBSAPs, (vii) contribution to set diversity and balance (i.e. the overall cohort of selected sites will be designed to provide maximum demonstration value through a within-set diversity in terms of global distribution, ecosystem types, threats and intervention types), (viii) conform with the objectives of the IPSI Strategy, and ix) eligible countries for GEF funding.

E. Executing Entity's Institutional Capacity for Safeguard Policies:

To be determined from the capacity assessment

II. SAFEGUARD AND POLICIES

Environmental and Social Safeguards:

Safeguard Triggered	Yes	No	TBD	Date Completed
Environmental & Social Impact Assessment (ESIA)		**X**		

Justification: The Safeguard Screening Form submitted by the Executing Agency determines that this project will not cause adverse environmental impacts.				
Natural Habitats		**X**		
Justification: The Safeguard Screening Form submitted by the Executing Agency determines that this project will not create significant destruction or degradation of critical natural habitats of any type (forests, wetlands, grasslands, coastal/marine ecosystems, etc.).				
Involuntary Resettlement			**X**	
Justification: Although it is expected that no involuntary resettlement will be part of this project, it is possible that some project activities impose restrictions to the access, use and control of natural resources on which people depend for their livelihoods, which is not identified in the Safeguard Screening Form at the PIF stage. Therefore, this policy will be re-assessed for each selected project, as specified in section 4 of this review.				
Indigenous Peoples	**X**			
Justification: The Safeguard Screening Form anticipates the engagement of indigenous peoples in this project. However, these communities will be identified during the PPG or during the proposal selection process. To ensure the full compliance, each selected project will be screened for this policy and the appropriate measured must be put in place (see section 4 for more details)				
Pest Management			**X**	
Justification: Although the Safeguard Screening Form does not identify that pest management activities will be part of this project, it is possible that some SEPLS where the project may be interested in investing, will require controlling pests (agricultural, invasive alien species, etc.). Therefore, this policy will be re-assessed for each selected project, as specified in section 4 of this review.				
Physical & Cultural Resources			**X**	
Justification: Although it is expected that no physical and cultural resources will be negatively affected by this project, it is possible that some project sites have critical physical and cultural resources that the Executing Agency is not aware of at the PIF stage. This policy will be re-assessed for each selected project, as specified in section 4 of this review.				

Other relevant policies and best practices

Triggered	Yes	No	TBD	Date Completed
Stakeholder Engagement	**X**			
Justification: A wide range of stakeholders will be part of this project in different stages and components. Many of them can be readily identified during the PPG phase, however, others will be identified only at the project site level, once priority SEPLS have been identified.				
Gender mainstreaming	**X**			
Justification: This project will touch upon, at different stages and levels, issues related to gender equality and equity.				

III. KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

- The Safeguard Screening process indicates that at this stage two CI-GEF Project Agency Environmental and Social Safeguards will be triggered by this project:
    a) Indigenous Peoples,
    b) Stakeholder Engagement, and
    c) Gender mainstreaming
- In addition, the review of the Safeguard Screening Form determined that other Environmental and Social Safeguards might be triggered at the individual project level (project grant level). These include:
    a) Involuntary Resettlement,
    b) Pest Management, and
    c) Physical & Cultural Resources
- This review has also determined that the project's activities will not cause or enable to cause significant negative environmental and social impacts. On the contrary, this project is expected to generate benefits (improved livelihoods) for local people; and
- The measures recommended in section 4 (below) should be enough to properly avoid, mitigate or compensate the negative impacts generated by this project.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

- Two potential indirect and/or long term adverse impacts can be anticipated, if the recommendations described below (section 4) are not properly implemented:
    a) Restriction to traditional or customary access, use and control of natural resources without proper compensation or alternatives beyond the life of the project. This is specially is applicable if project activities include the creation/strengthening of policies, legislation and/or rules to protect and conserve biodiversity, enforcement of existing conservation regulations, establishment of new or expansion of existing protected areas, etc.
    b) Unequal distribution of project benefits among different groups within affected communities, especially women and disadvantaged groups.

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts:

- No project alternatives are necessary for this project.

4. Describe measures to be taken by the Executing Entity to address safeguard policy issues. Provide an assessment of the Executing Entity capacity to plan and implement the measures described:

- Given that the Satoyama Initiative will be providing grants to on-the-ground demonstration projects (at least 10), the CI-GEF Project Agency requires that each selected proposal completes the CI-GEF Project Agency Safeguard Screening Process. In this way, each selected proposal will be screened

individually and a series of measures for complying with the Agency's Safeguards identified and implemented

- In addition and regardless of the screening process that each selected project must undergo (see above), this GEF project as a whole must develop during the PPG phase, the following plans:
  - ***Stakeholders' engagement***: to ensure that the project meets CI-GEF Project Agency's "Stakeholders' Engagement Best Practice", the Executing Agency will develop and submit, within 30 days of the beginning of the PPG phase, a "Stakeholders' Engagement Plan" for the Project Agency's approval. The Project Agency will oversee the implementation of this plan throughout the duration of the project (ESMF pages 9; 75), and
  - ***Gender mainstreaming***: to ensure that the project meets CI-GEF Project Agency's "Gender Mainstreaming Policy #8", the Executing Agency will develop, during of the PPG phase, a "Gender Mainstreaming Strategy and Action Plan" that will ensure the mainstreaming of gender issues throughout the project. The terms of reference will be provided by the CI-GEF Project Agency, who will approve and oversee the implementation of this Strategy and Action Plan throughout the duration of the project (ESMF pages 8-9; 73)
- As part of the PPG Work Plan, the Executing Agency will describe the process to be implemented to ensure the incorporation of the above recommendations into the Project Document, including a brief description of the people in charge of the safeguard aspects of this project and any training needs required to properly comply with the Project Agency's policies and best practices.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:

- *The consultation mechanisms by each type of major stakeholder will be designed and implemented by the Executing Agency at the beginning of the project preparation phase as mentioned above, and approved and monitor by the Project Agency.*

IV. PROJECT CATEGORIZATION

PROJECT CATEGORY	Category A	Category B	Category C
			X
Justification: • The review of this screening form and the PIF indicates that this project will not cause or enable to cause any major environmental or social impacts.			

V. EXPECTED DISCLOSURE DATES

Safeguard	CI Disclosure Date	In-Country Disclosure Date
Environmental & Social Impact Assessment (ESIA)	**N/A**	**N/A**
Natural Habitats	**N/A**	**N/A**

Involuntary Resettlement	To be reassessed at the individual project level	To be reassessed at the individual project level
Indigenous Peoples	Before Project Implementation Begins on the ground (date to be confirmed)	Before Project Implementation Begins on the ground (date to be confirmed)
Physical Cultural Resources	To be reassessed at the individual project level	To be reassessed at the individual project level
Pest Management	To be reassessed at the individual project level	To be reassessed at the individual project level

VI. APPROVALS

Signed and submitted by:		
Vice President GPP:	**Name** Lilian Spijkerman	**Date**
Approved by:		
CI-GEF Technical & Safeguards Coordinator:	**Name** Miguel A. Morales	**Date** *June 24, 2014*
Comments:		
Account Manager:	**Name** Orissa Samaroo	**Date**
Comments:		