

## CI-GEF PROJECT AGENCY SCREENING RESULTS AND SAFEGUARD ANALYSIS

(To be completed by CI-GEF Coordination Team)

### I. BASIC INFORMATION

#### A. Basic Project Data

<b>Country:</b> Guyana and Suriname	<b>GEF Project ID:</b>
<b>Project Title:</b> Setting the foundations for zero net loss of the mangroves that underpin human wellbeing in the North Brazil Shelf LME	
<b>Executing Agency:</b> International Union for the Conservation of Nature (IUCN).	
<b>GEF Focal Area:</b> International Waters	
<b>GEF Project Amount:</b> USD\$700,000	
<b>Reviewer(s):</b> Ian Kissoon	
<b>Date of Review:</b> September 29, 2017	
<b>Comments:</b> Analysis completed and approved	

#### B. Project Objective:

To create the multi-disciplinary information base, regional coordination mechanism and multi-sectoral consensus required to implement elements of the CLME+ Strategic Action Plan pertaining to the mangroves that most directly underpin human wellbeing in the North Brazil Shelf Large Marine Ecosystem (NBS-LME or NBS).

#### C. Project Description:

The project works to enable a more integrated and transboundary treatment of coastal zone and integrated management influencing an estimated 250,000 – 300,000 ha of mangrove ecosystem within the NBS via the following activities:

- I. Update mangrove cover and estimates from literature review and synthesis, use of remote sensing data and ground truthing scoped to the needs of each country.
- II. Link among the three countries, mangrove Ecosystem Goods and Services Valuation studies and examine mangrove economy and human well-being of local communities, national flood defenses and global carbon mitigation potential.
- III. Research biophysical characterization, conduct a conservation planning exercise and IUCN Ecosystem Red List assessment, and review restoration methods and effectiveness in the NBS region.
- IV. Link policy analysis to recommendations for decision makers.
- V. Establish an online knowledge sharing platform in coordination with the CLME+ sub-regional NBS project.
- VI. Set up and/ or reactivate mangrove regional coordination group(s) and develop a multi-sectoral coordination mechanism.
- VII. Engage and formalize French Guiana and Brazil participation in a shared ICZM opportunity.
- VIII. Develop a three-country work plan (Guyana, Suriname and Brazil) to establish the ICZM mangrove baseline.

- IX. Establish a framework and road map for an NBS 2021 regional ICM plan through scoping consultancy and a synthesis and planning workshop.

**D. Project location and biophysical characteristics relevant to the safeguard analysis:**

The mangrove systems between the Amazon and Orinoco river outflows support a range of critical ecosystem services to coastal NBS societies yet were and are subjected to varying degrees of deforestation and incidental degradation given installation of precautionary concrete shore defenses, conversion of coastal land for agriculture, cattle grazing and urbanization in the last century. In the case of the NBS region coastal communities make up 80-90% of the total population: 12k in Amapá; 225k F. Guiana; 500k Suriname, 693k Guyana, and hence are living in the intervention geography of the project subject to the benefits that healthy mangroves directly and indirectly provide to people.

The project recognizes the need for participatory, well informed and inclusive process between local communities, public institutions, multilateral investment, academia, NGOs, research and the private sector (fishers, tourism developers, upstream industry and land managers, offshore oil prospectors and investors etc.) in construction of an ICZM strategy for the NBS countries. The ICZM process is intended to be fully inclusive for men, women and age groups, to better understand, reflect and respect the diversity of uses and roles of different demographic groups in the NBS coastal zone. As a project that aims to scope and enable ICZM (without significant on the ground interventions at this stage), this also includes an appraisal of Indigenous Peoples (IP) community roles and uses in the coastal zone. In Guyana this involves 11 Amerindian communities that adjoin or are within the Shell Beach Protected Area and in the case of Suriname, the Indigenous Peoples community of Kalebaskreek in the estuary zone of the Coppename Monding Ramsar site and the community of Galibi in the Marowijne district, all of which reside adjacent to important mangrove areas.

**E. Executing Agency's Institutional Capacity for Safeguard Policies:**

The Executing Agency indicated that they have the capacity to implement safeguard measures as described by the CI-GEF Agency ESMF guidelines. They have published protocols for developing and instigating Ecological and Social Impact Assessments which are underpinned and compliant with their GEF accredited Agency guidance materials. Their safeguard staff members are capable of advising field teams, providing targeted support and training. The project staff also have experience in developing and implementing safeguard plans for GEF and analogous projects based upon World Bank Environmental and Social Framework and standards (also having experience in applying the same GMS and IP concepts for work with mangrove user communities the Eastern Tropical Pacific region).

## II. SAFEGUARD AND POLICIES

### Environmental and Social Safeguards:

Safeguard Triggered	Yes	No	TBD	Date Completed
<b>1. Environmental &amp; Social Impact Assessment (ESIA)</b>		X		
<i>Justification: No significant adverse environmental and social impacts that are sensitive, diverse, or unprecedented is anticipated</i>				
<b>2. Natural Habitats</b>		X		
<i>Justification: The project is not proposing to alter natural habitats</i>				
<b>3. Involuntary Resettlement</b>		X		
<i>Justification: The project is not proposing involuntary resettlement or restriction of access/use of natural resources.</i>				
<b>4. Indigenous Peoples</b>	X			
<i>Justification: The project plans to assess socio-economic attributes, and engage indigenous communities and leaders particularly in defining their participation in the ICZM planning process with country NFPs.</i>				
<b>5. Pest Management</b>		X		
<i>Justification: There are no proposed activities related to pest management</i>				
<b>6. Physical &amp; Cultural Resources</b>		X		
<i>Justification: There are no proposed activities related to physical and cultural resources</i>				
<b>7. Stakeholder Engagement</b>	X			
<i>Justification: The project is required to engage stakeholders</i>				
<b>8. Gender mainstreaming</b>	X			
<i>Justification: The project is required to mainstream gender at all levels</i>				
<b>9. Accountability and Grievance Mechanisms</b>	X			
<i>Justification: As a publicly funded GEF project, a Grievance Mechanism is required.</i>				

## III. KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

*From information provided in the Safeguard Screening Form, this project has triggered four safeguard policies. These are:*

- I. Indigenous Peoples,*
- II. Stakeholder Engagement,*
- III. Gender Mainstreaming, and*
- IV. Grievance Mechanism.*

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

*No indirect and/or long term impacts due to anticipated future activities are foreseen at this time.*

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts:

*The proposed approach of the project is expected to avoid or minimize adverse impacts. As such, no better alternative can be conceived at this time.*

4. Describe measures to be taken by the Executing Agency to address safeguard policy issues.

I. Indigenous Peoples

*To ensure that the project meets CI-GEF Project Agency's "Indigenous Peoples Policy #4", the Executing Agency is required to develop an Indigenous Peoples Plan. The CI-GEF Project Agency will oversee the implementation of this plan throughout the duration of the project.*

II. Grievance Mechanism

*To ensure that the project meets CI-GEF Project Agency's "Accountability and Grievance Mechanism Policy #7", the Executing Agency is required to develop an Accountability and Grievance Mechanism that will ensure people affected by the project are able to bring their grievances to the Executing Agency for consideration and redress. The mechanism must be in place before the start of project activities, and also disclosed to all stakeholders in a language, manner and means that best suits the local context. The Executing Agency must inform the CI-GEF Project Agency of any grievance received.*

III. Gender Mainstreaming

*To ensure that the project meets CI-GEF Project Agency's "Gender Mainstreaming Policy #8", the Executing Agency is required to develop a "Gender Mainstreaming Plan" that will ensure the mainstreaming of gender issues throughout the project. The CI-GEF Project Agency will provide a gender mainstreaming guideline, and will approve and oversee the implementation of the Gender Mainstreaming Plan throughout the duration of the project.*

IV. Stakeholder Engagement

*To ensure that the project meets CI-GEF Project Agency's "Stakeholders' Engagement Policy #9", the Executing Agency is required to develop a Stakeholder Engagement Plan. The CI-GEF Project Agency will oversee the implementation of this plan throughout the duration of the project.*

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:

*The key stakeholders are the local communities, public institutions, multilateral investment, academia, NGOs, research and the private sector.*

*The mechanisms for consultation and disclosure should be culturally appropriate, gender sensitive, effective, and in keeping with local customs. Engagement can take the form of village meetings, group meetings, workshops, interviews/surveys, etc. and done using local languages and methods. The Executing Agency should take these contexts into consideration when designing engagement activities.*

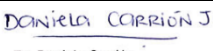
#### IV. PROJECT CATEGORIZATION

PROJECT CATEGORY	Category A	Category B	Category C
<i>Justification: The proposed project activities are likely to have minimal or no adverse environmental and social impacts.</i>			

#### V. EXPECTED DISCLOSURE DATES

Safeguard Plan	CI Disclosure Date	In-Country Disclosure Date
Environmental & Social Impact Assessment (ESIA)	NA	NA
Environmental Management Plan (EMP)	NA	NA
Voluntary Resettlement Action Plan (V- RAP)	NA	NA
Process Framework for Restriction of Access to Natural Resources	NA	NA
Indigenous Peoples Plan (IPP)	<i>Within 15 days of CI-GEF approval</i>	<i>Within 30 days of CI-GEF approval</i>
Pest Management Plan (PMP)	NA	NA
Stakeholder Engagement Plan (SEP)	<i>Within 15 days of CI-GEF approval</i>	<i>Within 30 days of CI-GEF approval</i>
Gender Mainstreaming Plan (GMP)	<i>Within 15 days of CI-GEF approval</i>	<i>Within 30 days of CI-GEF approval</i>
Accountability and Grievance Mechanism	<i>Within 15 days of CI-GEF approval</i>	<i>No later than inception workshop/kick-off meeting</i>

#### VI. APPROVALS

<b>Signed and submitted by:</b>		
Sr. Director Project Development & Implementation: 	Name: Free de Koning	Date: 2017-09-29
<b>Approved by:</b>		
Safeguard Manager: 	Name: Ian Kissoon	Date: 2017-09-29
Project Manager: 	Name: Daniela Carrión	Date: 2017-09-29